

¹ Balancing Coexistence: Ecological Dynamics and Optimal Tax ² Policies in a Dual Phytoplankton-Zooplankton System Influenced ³ by Toxin Avoidance and Harvesting

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8 Abstract

9 In recent years, the impact of toxic phytoplankton on ecological balance has attracted more
 10 and more ecologists to study. In this paper, we develop and analyze a model with three
 11 interacting species, poisonous and nontoxic phytoplankton, and zooplankton, including
 12 zooplankton avoiding toxic phytoplankton in the presence of nontoxic phytoplankton, and the
 13 impact of human harvest on the coexistence of these three species. We first introduce the
 14 poisonous avoidance coefficient ?? and the human harvest of nontoxic phytoplankton and
 15 zooplankton to investigate its impact on species coexistence. We not only find that ?? has a
 16 particular effect on the coexistence of these three species. But also that human harvest is an
 17 essential factor determining the coexistence of these three species. Secondly, pregnancy delay
 18 () and toxin onset delay () are introduced to explore the influence of time delay on the
 19 behavior of dynamic systems. When the delay value exceeds its critical value, the system will
 20 lose stability and go through Hopf bifurcation. After that, we use the principle of Pontryagin's
 21 maximum to study the optimal tax policy without delay. We obtained the optimal path of the
 22 optimal tax policy. Finally, we carry out numerical simulations to verify the theoretical results.

Index terms— toxic phytoplankton; human harvest; time delay; optimal tax policy; hopf bifurcation

25 1 I. Introduction

26 Marine phytoplankton and zooplankton are essential components of marine ecosystems and support the regular
27 operation of the entire marine ecosystem. The research of marine phytoplankton and animal ecology is conducive
28 to our comprehensive understanding of the status of an aquatic ecosystem. Marine plankton refers to the aquatic
29 organisms suspended in the water and moving with water flow, mainly including phytoplankton and zooplankton,
30 as well as other organisms such as planktonic viruses, planktonic bacteria ,and archaea. Phytoplankton is the
31 primary producer in the sea; it converts solar energy into organic energy through photosynthesis, initiates the
32 material circulation and energy flow in the sea, and is the most basic link in the marine food chain. Zooplankton
33 is an essential consumer in the sea; this part of organic matter is utilized through the food chain and further
34 transferred to the upper trophic level through secondary production processes. Therefore, phytoplankton and
35 zooplankton provide food and energy sources for the upper trophic level organisms through the above primary
36 and secondary production processes, supporting the regular operation of the entire marine ecosystem.

37 Phytoplankton is not only the bottom but also the most crucial component of the marine ecosystem. It is
38 divided into toxic and non-toxic phytoplankton. At the same time, zooplankton can distinguish different types
39 of phytoplankton. To avoid feeding on toxic phytoplankton, which has a similar synergistic behavior mechanisms
40 of selective grazing include prey morphology (size, color, shape, and colony formation), intestinal genetic strains,
41 and poisonous chemicals released by prey [6][7][8] ??[9][10][11][12]. Thus, the avoidance effect of zooplankton on

1 I. INTRODUCTION

42 toxins from toxic phytoplankton and the harmful effects of toxic compounds released by toxic species on their
43 competitors have been studied [13][14][15][16][17][18][19][20].

44 In this paper, we consider not only the effect of toxin avoidance on species existence, but also the impact of
45 human beings on the harvest of non-toxic phytoplankton and zooplankton is considered, whereas non-toxic phyto-
46 plankton on species existence and the human harvest has been applied in many models [21][22][23][24][25][26][27].
47 Since time delay is widely studied in the phytoplankton-zooplankton model [28][29][30][31], another essential
48 purpose of our research is to explore the effect of pregnancy delay and toxin onset delay on the dynamic system.
49 Finally, we find that optimal strategies are applied in many models to constrain overfishing [32][33]. Through the
50 research we know that in fisheries, there is a fishing strategy called specific fishing, that is, fishermen catch almost
51 only one particular type of fish or several species associated with it, such as these three species in our article, so
52 we need a feedback mechanism to control this particular capture. Based on the dual phytoplankton-zooplankton
53 system, we consider the optimal tax policy to constrain this particular fishing.

54 The organizational structure of this paper is as follows. In Section 2, we establish a mathematical model
55 with double time delays for avoiding toxic species by zooplankton in the presence of non-toxic species. And give
56 a parameter explanation in Table 2. In Section 3, we analyze the boundedness and stability of the boundary
57 equilibrium point and the internal equilibrium point in the delay-free model. And obtain the bistability between
58 the equilibrium points. The results are summarized in Table ?? and Fig 1 . In Section 4, by analyzing different
59 situations of this double delay model, we obtain the critical value of time delay when the system undergoes Hopf
60 bifurcation. In Section 5, we study the optimal tax policy without time delay using the principle of Pontryagin's
61 maximum. In addition, we use the parameters and initial values given in Table 2 and (6.1) to simulate several
62 cases of double-delay systems in Matlab to verify all theoretical results in Section 6. Lastly, we end this paper
63 with some conclusions and significance in Section 7.

64 Considering the toxin refuge of zooplankton, a nontoxic phytoplankton-toxic zooplankton model was proposed
65 in [14]. They showed that avoidance effects can promote the coexistence of non-toxic phytoplankton, toxic
66 phytoplankton and zooplankton. Which can be shown as (with symbols slightly varied):
67
$$\begin{aligned} \frac{dN}{dt} &= r_1 N (1 - N + \frac{1}{T} k_1) - w_1 N Z p_1 + N, \\ \frac{dT}{dt} &= r_2 T (1 - T + \frac{2}{N} k_2) - w_2 T Z p_2 + T + N, \\ \frac{dZ}{dt} &= w_1 N Z p_1 + N - w_2 T Z p_2 + T + N - dZ, \end{aligned} \quad N(0) = 0, T(0) = 0, Z(0) = 0. \quad (2.1)$$

68 where N , T , and Z represent the biomass of nontoxic phytoplankton, toxic phytoplankton, and zooplankton,
69 respectively. k_1 and k_2 are the environmental carrying capacities of nontoxic phytoplankton (NTP) and
70 toxinproducing phytoplankton (TPP) species, respectively. r_1 and r_2 represent the constant intrinsic growth
71 rates of N and T , respectively. $\frac{1}{T}$ and $\frac{2}{N}$ measure the competitive effect of T on N , and N on T , respectively.
72 w_1 and w_2 represent the rates at which N and T are consumed by Z , respectively. p_1 and p_2 are half-saturation
73 constants for NTP and TPP, respectively. $\frac{1}{N}$ represents the intensity of avoidance of T by Z in the presence of N
74 , and d is the natural mortality of zooplankton. As the research merely focuses on a single time model, moreover
75 overfishing has an important impact on the stability of marine ecosystems, human harvest and time delays should
76 be taken into account. The increment in zooplankton population due to predation does not appear immediately
77 after consuming phytoplankton; it takes some time (say $\frac{1}{2}$), which can be regarded as the gestation period
78 in zooplankton. The decrease of zooplankton population caused by ingestion of toxic phytoplankton does not
79 occur immediately. Still, it requires a certain time (say $\frac{1}{2}$), which can be regarded as the reaction time after
80 zooplankton poisoning. Accordingly the bio-economic model with time delays on the interactions of nontoxic
81 phytoplankton, toxic plankton and zooplankton with toxin avoidance effects, which can be shown as follows:
82
$$\begin{aligned} \frac{dN}{dt} &= r_1 N (1 - N + \frac{1}{T} k_1) - w_1 N Z p_1 + N - q_1 E N, \\ \frac{dT}{dt} &= r_2 T (1 - T + \frac{2}{N} k_2) - w_2 T Z p_2 + T + N, \\ \frac{dZ}{dt} &= c_1 w_1 N (t - \frac{1}{2}) Z(t - \frac{1}{2}) p_1 + N (t - \frac{1}{2}) - c_2 w_2 T (t - \frac{1}{2}) Z(t - \frac{1}{2}) p_2 + T (t - \frac{1}{2}) + N (t - \frac{1}{2}) - dZ - q_2 E Z, \end{aligned} \quad N(0) = 0, T(0) = 0, Z(0) = 0. \quad (2.2)$$

83 where N , T , and Z represent the biomass of nontoxic phytoplankton, toxic phytoplankton and zooplankton,
84 respectively. $\frac{1}{2}$ ($\frac{1}{2} > 0$) and $\frac{1}{2}$ ($\frac{1}{2} > 0$) represent the maturation gestation delay and the toxin onset
85 delay, respectively. c_1 and c_2 represent the conversion rate of N to Z and T to Z , respectively. Due to the
86 experience of human capture, we assume that humans can distinguish between toxic phytoplankton and non-toxic
87 phytoplankton when capturing zooplankton and phytoplankton. So, we put q_1 and q_2 to represent the fishing
88 coefficients of nontoxic phytoplankton and zooplankton, respectively. And E is the effort used to harvest the
89 population. To investigate the effect of time delay on the dynamic behavior of the model, we will first study the
90 stability of the equilibrium point of the following model without time delay:
91
$$\begin{aligned} \frac{dN}{dt} &= r_1 N (1 - N + \frac{1}{T} k_1) - w_1 N Z p_1 + N - q_1 E N, \\ \frac{dT}{dt} &= r_2 T (1 - T + \frac{2}{N} k_2) - w_2 T Z p_2 + T + N, \\ \frac{dZ}{dt} &= c_1 w_1 N Z p_1 + N - c_2 w_2 T Z p_2 + T + N - dZ - q_2 E Z, \end{aligned} \quad N(0) = 0, T(0) = 0, Z(0) = 0. \quad (2.3)$$

92 In this subsection, firstly, we shall show the positivity and boundedness of solutions of the system (2.3), which
93 is vital for the biological understanding of the system and the subsequent analysis.

94 All the solutions with initial values of system (2.3), which start in \mathbb{R}^3_+ , are always positive and bounded.

95 Proof. Firstly, we rewrite the model (2.3) and take the linear as the following form:
96
$$dX/dt = F(X), \quad (3.1)$$

97 where $X(t) = (N, T, Z) \in \mathbb{R}^3_+$ and $F(X)$ is simplified as the following $F(X) = \begin{pmatrix} r_1 N (1 - N + \frac{1}{T} k_1) - w_1 N Z p_1 + N - q_1 E N \\ r_2 T (1 - T + \frac{2}{N} k_2) - w_2 T Z p_2 + T + N \\ c_1 w_1 N Z p_1 + N - c_2 w_2 T Z p_2 + T + N - dZ - q_2 E Z \end{pmatrix}$

105 2 Notes

106 We want to prove that $(N(t), T(t), Z(t)) \in R^3$ for all $t \in [0, +\infty)$. For system (2.3) with initial value $N(0) > 0$, $T(0) > 0$ and $Z(0) > 0$, we have $N(t) = N(0) \exp\{t_0 [r_1(1-N(s)+?1T(s)k_1) - w_1Z(s)p_1 + N(s) - q_1E]ds\}$, $T(t) = T(0) \exp\{t_0 [r_2(1-T(s)+?1N(s)k_2) - w_2Z(s)p_2 + T(s) + ?N(s)]ds\}$, $Z(t) = Z(0) \exp\{t_0 [c_1w_1N(s)p_1 + N(s) - c_2w_2T(s)p_2 + T(s) + ?N(s) - d - q_2E]ds\}$,

110 which shows that all the solutions of system (2.3) are always positive for all $t > 0$.

111 Secondly, we prove the boundedness of the solution. Let $(N(t), T(t), Z(t))$ be the solutions of system (2.3), we define a function $W(t) = c_1N(t) + c_2T(t) + Z(t)$. (3.2)

113 Then, by differentiating (3.2) concerning t , we obtain $dW/dt + ?W = c_1r_1N(1 - N + ?1Tk_1) + c_2r_2T(1 - T + ?1Nk_2) - 2c_2w_2TZp_2 + T + ?N - dZ - q_2EZ - c_1q_1EN + c_1c_2?T + ?Z, ?c_1r_1N(1 - Nk_1) + c_2r_2T(1 - Tk_2) - dZ + c_1?N + c_2?T + ?Z, = -c_1r_1Nk_1 + (r_1 + ?)c_1N - c_2r_2Tk_2 + (r_2 + ?)c_2T + (? - d)Z, ?c_1k_1(r_1 + ?)24r_1 + c_2k_2(r_2 + ?)24r_2 + (? - d)Z, ?c_1r_2k_1(r_1 + ?)2 + c_2r_1k_2(r_2 + ?)24r_1r_2$

118 , therefore, applying a theorem on differential inequalities [34], we obtain $0 \leq W \leq ? + W(N(0), T(0), Z(0))e^{\int_0^t ? dt}$

121 , let $t \rightarrow +\infty$, $W(N, T, Z) \rightarrow 0$. So, all solutions of system (2.3) enter the region $D = \{(N, T, Z) \in R^3 : 0 \leq W(N, T, Z) \leq ?\}$. (3.3)

123 This shows that every solution of the system is bounded. System (2.3) possesses six different equilibrium points:

- 125 (i) the plankton-free equilibrium, $E_0 = (0, 0, 0)$, which always exists;
- 126 (ii) TPP and zooplankton-free equilibrium, $E_1 = (k_1, 0, 0)$, which is always feasible;
- 127 (iii) NTP and zooplankton-free equilibrium, $E_2 = (0, k_2, 0)$, which is always feasible;
- 128 (iv) zooplankton-free equilibrium, $E_3 = (N, T, 0)$, where $N = ?1k_2 - k_1 ?1 ?2 - 1 - q_1k_1E r_1, T = ?2k_1 - k_2 ?1 ?2 - 1$;

130 (v) TPP-free equilibrium $E_4 = (N, 0, Z)$, where $N = (q_2E + d)p_1c_1w_1 - d - q_2E, Z = r_1(k_1 - N) - q_1k_1E(p_1 + E)k_1w_1$;

132 (vi) the interior equilibrium, $E^* = (N^*, T^*, Z^*)$, where $T^* = c_1w_1N^* - (d + q_2E)(p_1 + N^*)(p_2 + ?N^*) (c_2w_2 + d + q_2E)(p_1 + N^*) - c_1w_1N^*, Z^* = (p_1 + N^*)r_1(k_1 - N^* - ?1T^*) - q_1k_1E k_1w_1$;

135 and N^* can be obtained from $r_2(p_2 + T^* + ?N^*)(k_2 - T^* - ?2N^*) - w_2k_2Z^* = 0$. (3.4)

136 Next, we illustrate the existence and stability of six equilibria when human harvest and avoidance factor exist simultaneously by solving Jacobi determinant of different equilibria, and summarize them in Table ??.

138 Equilibria analysis: Obviously, the equilibria E_0, E_1 and E_2 always exist. The zooplankton-free equilibrium E_3 exists, let N and T both be positive, that is $?2 > k_2k_1$ and $?1 > (?1?2-1)q_1k_1E r_1k_1 + k_1k_2$. The TPP-free equilibrium E_4 exists, let N and Z both be positive, that is $w_1 > d + q_2E c_1$ and $k_1 > r_1N r_1 - q_1E(p_1 + E)$. The interior equilibrium point E^* exists; let N^*, T^* and Z^* all be positive, that is $k_1 > q_1k_1E r_1 + N^* + ?1T^*, c_2w_2(p_1 + N^*) > c_1w_1N^* - (d + q_2E)(p_1 + N^*)$

143 > 0 and Eq.(3.4) has at least one positive root.

144 In the following, we summarize the eigenvalues and local stability conditions around the feasible equilibrium point of each organism of system (2.3).

146 (i) The eigenvalues of the plankton-free equilibrium $E_0 = (0, 0, 0)$ are r_1, r_2 and $-d - q_2E$. Therefore, it is a saddle point and hence always unstable.

148 (ii) The eigenvalues of the TPP and zooplankton-free equilibrium $E_1 = (k_1, 0, 0)$ are $-r_1 - q_1E, r_2(1 - k_1 ?2k_2)$ and $c_1w_1k_1p_1 + k_1 - d - q_2E$. When $c_1 ? 1 - d - q_2E$

150 $? 0$, and $?2 > k_2k_1$ hold, E_1 is LAS (locally asymptotically stable). On the contrary, if $c_1 ? 1 - d - q_2E > 0$, $?2 > k_2k_1$ and $k_1 < p_1(d + q_2E)c_1w_1 - d - q_2E$ hold, we can also obtain E_1 is LAS. (iii) The eigenvalues of the NTP and zooplankton-free equilibrium $E_2 = (0, k_2, 0)$ are $r_2(1 - k_2 ?1k_1) - q_1E, -r_2$ and $-c_2w_2k_2p_2 + k_2 - d - q_2E$. Therefore, E_2 is LAS if $k_1 < r_2 ? 1k_2 r_2 - q_1E$.

154 (iv) The eigenvalues of the zooplankton-free equilibrium $E_3 = (N, T, 0)$ are $c_1w_1Np_1 + N - c_2w_2Tp_2 + T + ?N - d - q_2E$

156 , $?1$ and $?2$, where $?1$ and $?2$ are the roots of the equation $?2 + b_1? + c_1 = 0$, (1)

157 b) Equilibrium points and their stability

158 Notes where $b_1 = -[r_2 - r_1 + r_1k_2(2N + ?1T) - r_2k_1(2T + ?2N)k_1k_2], c_1 = r_1r_2[1 - (2T + ?2N)(2N + ?1T)][1(2N + ?1T)k_2 + 1(2T + ?2N)k_1 - 1k_1k_2] + q_1r_2E(k_1(2T + ?2N) - r_1 ? 1 2N T k_1 k_2 - 1)$. Therefore, let $c_1w_1Np_1 + N - c_2w_2Tp_2 + T + ?N - d - q_2E < 0$, $?1$ and $?2$ with negative real parts, that is $c_1w_1Np_1 + N - d - q_2E < c_2w_2Tp_2 + T + ?N$

162 $N, b_1 > 0$ and $c_1 > 0$. If the above conditions are satisfied, E_3 is LAS.

163 (v) The eigenvalues of the TPP-free equilibrium $E_4 = (N, 0, Z)$ are $r_2(1 - ?2Nk_2) - w_2Zp_2 + ?N, ?1$ and $?2$, where $?1$ and $?2$ are the roots of the equation $?2 - (\tilde{a}_2 + b_2)? + \tilde{a}_2b_2 + c_2 = 0$, (2)

165 where $\tilde{a}_2 = (r_1(1 - 2Nk_1) - w_1p_1Z(p_1 + N)2 - q_1E), b_2 = (c_1w_1Np_1 + N - d - q_2E), c_2 = c_1w_12p_1N Z(p_1 + N)3$. Therefore, let $r_2(1 - ?2Nk_2) - w_2Zp_2 + ?N$

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167 $N < 0$, γ_1 and γ_2 with negative real parts, that is $(\tilde{a}_2 + b_2) < 0$ and $\tilde{a}_2 b_2 + c_2 > 0$. If the above conditions
 168 are satisfied, E_4 is LAS.

169 (vi) By solving the Jacobi determinant of E^* , we can get its characteristic equation as follows? $3 + D_1 \gamma_1$
 170 $+ D_2 \gamma_2 + D_3 = 0$. (3)

171 The interior equilibrium $E^* = (N^*, T^*, Z^*)$ is LAS if (a) $D_1 > 0$, (b) $D_3 > 0$, (c) $D_1 D_2 - D_3 > 0$,
 172 where $D_1 = -\{r_2 [1 - (2T^* + \gamma_2 N^*) k_1] - w_2 Z^* (p_2 + ?N^*) (p_2 + T^* + ?N^*)\} 2 + r_1 [1 - (2N^*
 173 + \gamma_1 T^*) k_1] - w_2 p_1 Z^* (p_1 + N^*) 2 - q_1 E\} - (c_1 w_1 N^* p_1 + N^* - r_1 \gamma_1 N^* k_1 (r_1 \gamma_1 T^*
 174 k_2 + w_2 ?T^* Z^*) (p_2 + T^* + ?N^*) 2) + \{r_2 [1 - (2T^* + \gamma_2 N^*) k_1] - w_2 Z^* (p_2 + ?N^*) (p_2 +
 175 T^* + ?N^*) 2 + r_1 [1 - (2N^* + \gamma_1 T^*) k_1] - w_2 p_1 Z^* (p_1 + N^*) 2 - q_1 E\} \times \{c_1 w_1 N^* p_1 + N^*
 176 - c_2 w_2 T^* p_2 + T^* + ?N^* - d - q_2 E\}, D_3 = -\{c_1 w_1 p_1 Z^* (p_1 + N^*) 2 - c_2 w_2 ?T^* Z^* (p_2 +
 177 T^* + ?N^*) 2\} \times \{-r_1 \gamma_1 w_2 T^* k_1 (p_2 + T^* + ?N^*) + w_1 N^* p_1 + N^* \times (r_2 (1 - (2T^* + \gamma_2 N^*)
 178 k_2) - w_2 Z^* (p_2 + ?N^*) (p_2 + T^* + ?N^*) 2) - (c_2 w_2 Z^* (p_2 + ?N^*) (p_2 + T^* + ?N^*) 2) \times
 179 w_2 T^* p_2 + T^* + ?N^* \} \times [-r_1 (1 - (2N^* + \gamma_1 T^*) k_1) + w_1 p_1 Z^* (p_1 + N^*) 2 + q_1 E\} + w_1 N^*
 180 p_1 + N^* \times (r_1 \gamma_1 T^* k_2 + w_2 ?T^* Z^* (p_2 + T^* + ?N^*) 2) - (c_2 w_2 Z^* (p_2 + ?N^*) (p_2 + T^* +
 181 ?N^*) 2) \times \{-r_1 w_2 T^* p_2 + T^* + ?N^* + r_1 w_2 (2N^* + \gamma_1 T^*) T^* k_1 (p_2 + T^* + ?N^*) + w_1 w_2
 182 p_1 T^* Z^* (p_2 + T^* + ?N^*) (p_1 + N^*) 2 + w_2 q_1 E T^* p_2 + T^* + ?N^* + r_1 \gamma_1 w_1 N^* T^* k_2
 183 (p_1 + N^*) + w_1 w_2 ?N^* T^* Z^* (p_2 + T^* + ?N^*) 2 (p_1 + N^*)\} + \{r_1 (1 - (2N^* + \gamma_1 T^*) k_1) -
 184 w_2 p_1 Z^* (p_1 + N^*) 2 - q_1 E\} \times \{r_2 (1 - (2T^* + \gamma_2 N^*) k_1) - w_2 Z^* (p_2 + ?N^*) (p_2 + T^* + ?N^*)
 185 2\} + r_1 \gamma_1 N^* k_1 \times (r_1 \gamma_1 T^* k_2 + w_2 ?T^* Z^* (p_2 + T^* + ?N^*) 2).$

186 From the calculation of the eigenvalues, obviously, γ does not affect the stability of E_1 and E_2 . Still, it has
 187 a significant impact on the stability of E_3 and E_4 (because the eigenvalues of E_1 and E_2 are independent of γ ,
 188 but related to human harvest). On the other hand, we not only find that the equilibrium point of system (2.3)
 189 is affected by human harvest, but also has a particular impact on its stability (it can be seen from the eigenvalue
 190 of each equilibrium point).

191 Next, the biological explanations of the above different equilibria are discussed below. Since all these
 192 interpretations are mainly based on local asymptotic stability conditions, initial abundance of all the populations
 193 may also play an essential role for the system's dynamics together with the parameters. Different from the
 194 biological explanation in [14], we not only consider the effect of γ on species coexistence, but also human harvest
 195 as an essential factor in species coexistence.

196 (i) E_0 : Extinction of all the populations at a time is impossible.

197 (ii) E_1 : From the analysis of research results, whenever the carrying capacity of the NTP population (k_1)
 198 stays within the specific threshold values of $k_2 \gamma_2 < k_1 < p_1(d+q_2E) c_1 w_1 - d - q_2 E$, both TPP and zooplankton
 199 will eventually become extinct from the system. Now, through the analysis of the k_1 threshold range, as the
 200 intensification of the harvest for zooplankton, the equilibrium point E_1 remains stable for a more extensive
 201 range of k_1 , and we can say that over-fishing of zooplankton ($q_2 E$) may accelerate the extinction of TPP and
 202 zooplankton. $+ \{r_1 [1 - (2N^* + \gamma_1 T^*) k_1] - w_2 p_1 Z^* (p_1 + N^*) 2 - q_1 E\} \times \{r_2 [1 - (2T^* + \gamma_2 N^*)
 203 k_1] - w_2 Z^* (p_2 + ?N^*) (p_2 + T^* + ?N^*) 2\}$ (iii) E_2 :

204 If the carrying capacity of NTP population (k_1) stays below the threshold value $r_2 \gamma_1 k_2 r_2 - q_1 E$, both NTP
 205 and zooplankton eventually extinct. With the competitive effect of TPP on NTP (γ_1), the environmental
 206 carrying capacities of toxin-producing phytoplankton (k_2) and harvesting term for NTP and zooplankton [14]
 207 S. Chakraborty, S. Bhattacharya, U. Feudel, J. Chattopadhyay, The role of avoidance by zooplankton for survival
 208 and dominance of toxic phytoplankton, Ecol. Complexity 11 (2012) 144-153.

209 3 Ref

210 ($\gamma_1 E$) increase, respectively. The equilibrium point E_2 remains stable for a larger scale of k_1 ; we can say that
 211 the possibility of deracinating NTP and zooplankton at a time increases with the increase in γ_1 , k_2 and $q_1 E$.

212 (iv) E_3 : When the carrying capacity of NTP population (k_1) remains within two threshold values $r_2 \gamma_1 k_2$
 213 $r_2 - q_1 E < k_1 < k_2 \gamma_2$ (it can be obtained by the threshold value (k_1) of E_1 and E_2) together with the
 214 competitive effects (γ_1 , γ_2), the harvesting term on NTP ($q_1 E$) are present and the values of all three are
 215 small, the zooplankton population will go extinct on the condition that $c_1 w_1 N p_1 + N - d - q_2 E < c_2 w_2 T p_2 +$
 216 $T + ? N$, whereas both NTP and TPP persist in the system. The chance of zooplankton extinction increases
 217 with the decrease in avoidance of TPP by zooplankton (γ_2), TPP consumption rate (w_2), the half-saturation
 218 constant for TPP (p_2), the harvesting term on zooplankton ($q_2 E$) and the zooplankton mortality (d). For a
 219 specific parameter setup ($c_1 w_1 N p_1 + N - (d + q_2 E) > 0$), we can find a threshold value of the avoidance of
 220 TPP by zooplankton ($\gamma_2 < (c_2 w_2 T)(p_1 + N) (N)(c_1 w_1 N - (d + q_2 E)(p_1 + N)) - p_2 + T N$)

221 , below which the zooplankton population will become extinct. On the contrary, for $c_1 w_1 N p_1 + N - (d + q_2 E) p_1$
 222 $c_1 w_1 - d - q_2 E < k_1 < (d + q_2 E)(p_1) + c_1 w_1 p_1 c_1 w_1 - d - q_2 E$
 223 , the extinction of zooplankton dose not depend on the intensity of avoidance; it maybe has something
 224 relationship with the harvest term on zooplankton ($q_2 E$).

225 (v) E_4 : If the carrying capacity of NTP population (k_1) remains within two threshold values ($(d + q_2 E)p_1$
 226 $c_1 w_1 - d - q_2 E < k_1 < (d + q_2 E)(p_1) + c_1 w_1 p_1 c_1 w_1 - d - q_2 E$), then TPP becomes extinct under the condition ($r_2 (k_2 - ? N) k_2 < w_2 Z p_2 + ? N$), whereas both NTP
 227 and zooplankton persist in the system. The possibility of TPP extinction increases with the reduction in the
 228 avoidance of TPP by zooplankton (γ_2), the half-saturation constant for TPP (p_2), and the growth rate of TPP

229 (r 2), decreases with the rise of the competitive effect of N on T (? 2) and the TPP consumption rate (w 2).
 230 Similarly, for a particular parameter setup ($k_2 -? 2 N > 0$), we can find a threshold value of the avoidance of
 231 TPP by zooplankton ($? < k_2 w_2 Z N r_2(k_2 -? 2 N) - p_2 N$)

232 , below which TPP may become extinct. On the contrary, for $k_2 -? 2 N < 0$, TPP extinction dose not depend
 233 on the avoidance. Because the biological analysis of E 4 found that the harvesting term has little impact on the
 234 extinction of TPP compared with other equilibrium points. In conclusion, for $k_2 -? 2 N < 0$, TPP extinction
 235 dose not depend on the avoidance of TPP by zooplankton (?) and harvest term on zooplankton (q 2 E).

236 (vi) $E^* = (N^*, T^*, Z^*)$: When the competitive effects (? 1), the fishing coefficients of nontoxic
 237 phytoplankton (q 1), the environmental carrying capacities of nontoxic phytoplankton (k 1), and the effort used
 238 to harvest the population (E) remain very small, whereas the constant intrinsic growth rates of N (r 1), there
 239 may be a possibility of coexistence of all the three species.

240 Existence and stability conditions of the equilibrium points.

241 4 Equilibrium Existence conditions

242 Stability conditions $E_0 = (0, 0, 0)$ Always exist Always unstable $E_1 = (k_1, 0, 0)$ Always exist (i) $c_1 w_1 - d - q$
 243 $2 E > 0$, $? 2 > k_2 k_1$, $k_1 < p_1(d+q_2 E) c_1 w_1 - d - q_2 E$, or (ii) $c_1 w_1 - d - q_2 E > 0$, $? 2 > k_2 k_1 E_2 =$
 244 $(0, k_2, 0)$ Always exist (i) $k_1 < r_2 ? 1 k_2 r_2 - q_1 E$ $E_3 = (N, T, 0)$ (i) $? 2 > k_2 k_1$, (ii) $? 1 > (? 1 ? 2$
 245 $-1)q_1 k_1 E r_1 k_1 + k_1 k_2$ (i) $c_1 w_1 N p_1 + N - d - q_2 E < c_2 w_2 T p_2 + T + N$, (ii) $b_1 > 0$, $c_1 > 0$ E
 246 $4 = (N, 0, Z)$ (i) $w_1 > d+q_2 E c_1$, (ii) $k_1 > r_1 N r_1 - q_1 E(p_1 + E)$ (i) $r_2(1 - ? 2 N k_2) < w_2 Z p_2 + ?$
 247 N , (ii) $\tilde{a}_2 + b_2 < 0$, $\tilde{a}_2 b_2 + c_2 > 0$ $E^* = (N^*, T^*, Z^*)$ (i) $k_1 > q_1 k_1 E r_1 + N^* + ? 1 T^*$, (ii) c_2
 248 $w_2(p_1 + N^*) > c_1 w_1 N^* - (d + q_2 E)(p_1 + N^*) > 0$, (iii) positive root of Eq.(3.4) exists (i) $D_1 > 0$,
 249 (ii) $D_3 > 0$, (iii) $D_1 D_2 - D_3 > 0$ Table 1:

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251 The existence and stability of these equilibrium points are summarized in Table ?? and ??ig 1. When $c_1 w_1 - d$
 252 $- q_2 E > 0$, equilibria $E_2 = (0, k_2, 0)$, $E_3 = (N, T, 0)$, $E_1 = (k_1, 0, 0)$ and $E_4 = (N, 0, Z)$ keep stable
 253 for $(0 < k_1 < r_2 ? 1 k_2 r_2 - q_1 E)$, $(r_2 ? 1 k_2 r_2 - q_1 E < k_1 < k_2 ? 2)$, $(k_2 ? 2 < k_1 < p_1(d+q_2 E) c_1 w_1 - d - q_2 E)$ and
 254 $((d+q_2 E)p_1 c_1 w_1 - d - q_2 E < k_1 < (d+q_2 E)(p_1) + c_1 w_1 p_1 c_1 w_1 - d - q_2 E$
 255), respectively(Fig. 1(a)). Obviously, for k_1 at the different equilibria above, the coexistence of NTP, TPP,
 256 and zooplankton requires the three ranges $(k_1 > r_2 ? 1 k_2 r_2 - q_1 E)$, $(k_1 < k_2 ? 2)$, and $(k_1 > (d+q_2 E)p_1$
 257 $c_1 w_1 - d - q_2 E)$, respectively. Therefore, the system exhibits these three possible types of bistability, where (i) E_1
 258 and E_2 . (ii) E_2 and E_4 . (iii) E_3 and E_4 .

259 The above three types are locally asymptotically stable for different ranges of k_1 . For $k_2 ? 2 < k_1 < \min\{r_2 ? 1 k_2 r_2 - q_1 E, (d+q_2 E)p_1 c_1 w_1 - d - q_2 E\}$, we can observe the bistability of E_1 and E_2 (Fig.1(b)(c)). If conditions
 260 $(d+q_2 E)p_1 c_1 w_1 - d - q_2 E < k_1 < \min\{r_2 ? 1 k_2 r_2 - q_1 E, (d+q_2 E)p_1 + c_1 w_1 p_1 c_1 w_1 - d - q_2 E$
 261 $\}$ and $(r_2(k_2 - ? 2 N)k_2 < w_2 Z p_2 + ? N)$ hold simultaneous, we can find the bistability of E_2 and E_4 (Fig. 1(d)(e)). On the contrary, if $(d+q_2 E)p_1$
 262 $c_1 w_1 - d - q_2 E < k_1 < r_2 ? 1 k_2 r_2 - q_1 E$ holds, for either $k_1 > (d+q_2 E)(p_1) + c_1 w_1 p_1 c_1 w_1 - d - q_2 E$ or $r_2(k_2 - ? 2 N)k_2$
 263 $> w_2 Z p_2 + ? N$, we'll get the existence of stable E_2 together with unstable E_4 . Identically, for $\max\{r_2 ? 1 k_2$
 264 $r_2 - q_1 E, (d+q_2 E)p_1 c_1 w_1 - d - q_2 E\} < k_1 < \min\{k_2 ? 2, (d+q_2 E)p_1 + c_1 w_1 p_1 c_1 w_1 - d - q_2 E$
 265 $\}$ together with $? 1 ? 2 < 1$, $c_1 w_1 N p_1 + N - d - q_2 E < c_2 w_2 T p_2 + T + ? N$ and $r_2(k_2 - ? 2 N)k_2 < w_2 Z$
 266 $p_2 + ? N$, we can observe the bistability of E_3 and E_4 (Fig. 1(f)-(i)). Now, let's discuss the importance of avoiding
 267 toxic species by zooplankton (?) together with the harvesting term ($q_1 E$, $q_2 E$) for the survival of the different
 268 species groups.

269 Firstly, let's discuss the effect of $?$ on three types of bistability. It can be seen from the previous analysis that
 270 the stability of E_1 and E_2 does not depend on the value of $?$. However, for the stability of E_3 and E_4 , it is
 271 related to the critical value of $?$. When $?$ is less than this critical value, E_3 and E_4 remain stable. Thus, $?$ does
 272 not affect the bistability of (E_1, E_2) ; when $?$ is below some threshold value, we will observe the bistability of
 273 (E_2, E_4) and (E_3, E_4) , and as the $?$ value increases, the original bistability may disappear. ($r_2(k_2 - ? 2 N)k_2$
 274 $> w_2 Z p_2 + ? N$, $c_1 w_1 N p_1 + N - d - q_2 E < c_2 w_2 T p_2 + T + ? N$ and $r_2(k_2 - ? 2 N)k_2 < w_2 Z$
 275 $p_2 + ? N$. From these conditions, we can see the establishment of the above conclusion.)

276 Secondly, let's discuss the effect of the harvesting term ($q_1 E$, $q_2 E$) on three types of bistability. From the
 277 analysis of the previous data, it can be seen that although the stability of E_1 and E_2 does not depend on the
 278 value of $?$, when humans overfish NTP and zooplankton, that is, $q_1 E$ and $q_2 E$ are too large, it may affect the
 279 bistability of E_1 and E_2 . For E_3 and E_4 , although their stability is directly related to the threshold value
 280 of $?$, the existence of $q_1 E$ and $q_2 E$ will also affect the threshold value of $?$, further influencing the stability of
 281 E_3 and E_4 . Therefore, $q_1 E$ and $q_2 E$ may affect the bistability of (E_1, E_2) , (E_2, E_4) and (E_3, E_4) ;
 282 the increase of $q_1 E$ and $q_2 E$ may also lead to the disappearance of this bistability.

283 In this section, we focus on the local stability and Hopf bifurcation of the delayed model; the delayed system
 284 (2.2) has the following form $dU(t)/dt = F(U(t), U(t - ? 1), U(t - ? 2))$, (4.1)

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288 where $U(t) = [N(t), T(t), Z(t)]$, $U(t -? 1) = [N(t -? 1), T(t -? 1), Z(t -? 1)]$, $U(t -? 2) = [N(t -? 2), T(t -? 2), Z(t -? 2)]$.

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291 Next, assuming $?1(t) = N(t) - N^*$, $?2(t) = T(t) - T^*$, $?3(t) = Z(t) - Z^*$ at the positive equilibrium point, and linearizing the system (2.2), we can obtain $dt ? ? ? 1(t) ? 2(t) ? 3(t) ? ? = L ? ? N(t) T(t) Z(t) ? ? + M ? ? N(t -? 1) T(t -? 1) Z(t -? 1) ? ? + S ? ? N(t -? 2) T(t -? 2) Z(t -? 2) ? ?$, (4.2)

295 where $L = ?F ?U(t) E^*$, $M = ?F ?U(t -? 1) E^*$, $S = ?F ?U(t -? 2) E^*$.

296 We linearize the system (2.2) about positive equilibrium $E^* = (N^*, T^*, Z^*)$, and get $dU(t) dt = LU(t) + M U(t -? 1) + SU(t -? 2)$, (4.3)

297 Fig. 1: Notes where $L = ? 1 1 1 1 1 2 1 1 3 1 2 1 1 2 2 1 2 3 0 0 1 3 3 ? ?$, $M = ? ? 0 0 0 0 0 0 m 3 1 0 m 3 3 ? ?$, $S = ? ? 0 0 0 0 0 0 s 3 1 s 3 2 s 3 3 ? ?$, $U = ? ? ? ? N 1(?) T 1(?) Z 1(?) ? ? ? ?$,

300 where $N 1$, $T 1$, $Z 1$ are small perturbations around the equilibrium point $E^* = (N^*, T^*, Z^*)$. We have
301 $11 = -rN k 1 + w 1 ZN(p 1 + N) 2 - q 1 E$, $112 = r 1 ? 1 N k 1$, $113 = -w 1 N p 1 + N$, $121 = r 2 ? 2 T k 1$
302 $+ w 2 ? T Z(p 2 + T + ?N) 2$, $122 = r 2 - (2r 2 T + r 2 ? 1 N) k 2$, $123 = -w 2 T(p 2 + T + ?N)$, $133 =$
303 $-d - q 2 E$, $m 31 = c 1 w 1 p 1 Z(p 1 + N) 2$, $m 33 = c 1 w 1 N(p 1 + N)$, $s 31 = c 2 w 2 ? T Z(p 2 + T +$
304 $?N) 2$, $s 32 = c 2 w 2 Z(p 2 + ?N) (p 2 + T + ?N) 2$, $s 33 = c 2 w 2 T(p 2 + T + ?N)$.

305 The characteristic equation for the linearized system (2.2) is obtained as $D(? ? 1, ? 2) ? P(?) + Q(?)e -? ? 1 + R(?)e -? ? 2 = 0$, (4.4)

307 where Case (1): $P(?) = ? 3 + A 2 ? 2 + A 1 ? + A 0$, $Q(?) = B 2 ? 2 + B 1 ? + B 0$, $R(?) = C 2 ? 2 + C 1 ? + C 0$, $? 1 = ? 2 = 0$.

309 In this case, Section 3 covers the analysis of the system when $? 1 = ? 2 = 0$.

310 Case (2): $? 1 = 0$, $? 2 > 0$.

311 In this case, the characteristic equation (4.4) becomes $D(? ? 2) ? P(?) + Q(?) + R(?)e -? ? 2 ? ? 3 + A 2 ? 2 + A 1 ? + A 0 + B 2 ? 2 + B 1 ? + B 0 + (C 2 ? 2 + C 1 ? + C 0) e -? ? 2 = 0$, (4.5)

313 putting $? = i(? > 0)$ in Eq. (4.5), and separating the real and imaginary parts, we have $(A 2 + B 2) ? 2 + (A 0 + B 0) = (C 2 ? 2 - C 0) \cos(? ? 2) - C 1 ? \sin(? ? 2)$, $? 3 + (A 1 + B 1) ? = (C 0 - C 2 ? 2) \sin(? ? 2) - C 1 ? \cos(? ? 2)$. (4.6)

316 Squaring and adding the equation (4.6), we obtain $[-(A 2 + B 2) ? 2 + (A 0 + B 0)] 2 + [-? 3 + (A 1 + B 1) ?] 2 = (C 2 ? 2 - C 0) 2 + (C 1 ?) 2$. (4.7)

318 Simplifying Eq. (4.7) and substituting $? 2 =$, the above equation can be written as $(?) ? 3 + a 2 2 + a 1 + a 0 = 0$, (4.8)

320 where $a 2 = -(A 2 + B 2) 2 - 2(A 1 + B 1) - C 2 2$, $a 1 = (A 1 + B 1) 2 - 2(A 0 + B 0)(A 2 + B 2) - 2C 0$
321 $C 2 - C 2 1$, $a 0 = -C 2 0$.

322 (H1): $a 2 > 0$, $a 0 > 0$, $a 2 a 1 - a 0 > 0$.

323 If (H1) holds, Eq. (4.8) has no positive roots, which implies all the roots of Eq. (4.5) have negative real parts.

324 Therefore, E^* is asymptotically stable for all $? 2 > 0$ when (H1) holds.

325 (H2): $a 2 < 0$, $a 1 < 0$, $a 0 < 0$ or $a 2 > 0$, $a 1 < 0$, $a 0 < 0$ or $a 2 > 0$, $a 1 > 0$, $a 0 < 0$. If (H2) holds,
326 Eq. (4.8) has exactly one positive root $? 0$, substituting $? 0$ in Eq. (4.6), we obtain $-(A 2 + B 2) ? 0 2 + (A 0 +$
327 $B 0) = (C 2 ? 0 2 - C 0) \cos(? 0 ? 2) - C 1 ? 0 \sin(? 0 ? 2)$, $? 0 3 + (A 1 + B 1) ? 0 = (C 0 - C 2 ? 0 2)$
328 $\sin(? 0 ? 2) - C 1 ? 0 \cos(? 0 ? 2)$. (4.9)

329 For the critical value of $? 2$, we can obtain $? 2j = 1 ? 0 \arccos \{ [C 1 + C 2 (A 2 + B 2)] ? 0 4 + [C 1 (A 1 + B 1) - C 0 (A 2 + B 2) - C 2 (A 0 + B 0)] ? 0 2 + C 0 (A 0 + B 0) - (C 0 - C 2 ? 0 2) 2 - (C 1 ? 0) 2 \} + 2j ? 0$, $j = 0, 1, 2 ? ?$. (4.10)

332 For the transversality condition, differentiating Eq. (4.5) with respect to $? 2$, we get $d ? 2 = ?(C 2 ? 2 + C 1 ? + C 0) e -? ? 2 ? 2 + 2A 2 ? + A 1 + (2B 2 ? + B 1) + (2C 2 ? + C 1) e -? ? 2$.

334 Solving $(d ? 2) - 1$, we obtain $(d ? 2) - 1 = ? 2 + 2A 2 ? + A 1 + (2B 2 ? + B 1) + (2C 2 ? + C 1) e -? ? 2 ? (C 2 ? 2 + C 1 ? + C 0) e -? ? 2$.

336 Then at $? 2 = ? 20$ and $? = i ? 0$, we can get $[Re(d ? 2) ? 2 = ? 20, ? = i ? 0] - 1 = Re[3(i ? 0) 2 + (2A 2 + B 2)(i ? 0) + A 1 + B 1(i ? 0)(C 2(i ? 0) 2 + C 1(i ? 0) + C 0)(\cos(? 0 ? 20) - i \sin(? 0 ? 20))]$ + $Re[2C 2(i ? 0) + C 1(i ? 0)(C 2(i ? 0) 2 + C 1(i ? 0) + C 0)]$. Now $[Re(d ? 2) ? 2 = ? 20, ? = i ? 0] - 1 = Re[M R + M I i N R + N I i] + Re[Q R + Q I i P R + P I i] = M R N R + M I N I N R 2 + N I 2 + Q R P R + Q I P I R + P I M R = -3 ? 0 2 + A 1 + B 1$, $M I = 2(A 2 + B 2) ? 0$, $N R = (C 0 ? 0 - C 2 ? 0 3) \sin(? 0 ? 20) - C 1 ? 0 2 \cos(? 0 ? 20)$, $N I = (C 0 ? 0 - C 2 ? 0 3) \cos(? 0 ? 20) + C 1 ? 0 2 \sin(? 0 ? 20)$, $Q R = C 1$, $Q I = 2C 2 ? 0$, $P R = -C 1 ? 0 2$, $P I = C 0 ? 0 - C 2 ? 0 3$. Then $[Re(d ? 2) ? 2 = ? 20, ? = i ? 0] - 1 = A B + C D = AD + BC BD$, (4.11)

344 here $A = M R N R + M I N I$, $B = N R 2 + N I 2$, $C = Q R P R + Q I P I$, $D = P R 2 + P I 2$.

345 From this, we can get $sgn[Re(d ? 2) ? 2 = ? 20, ? = i ? 0] - 1 = sgn[AD + BC]$.

346 If (H3): $AD + BC = 0$ holds, the transversal condition $sgn[Re(d ? 2) ? 2 = ? 20, ? = i ? 0] - 1 = 0$. From the above analysis, the following theorem can be drawn For $? 1 = 0$ and $? 2 > 0$, we have the following results: (i) If (H1) holds, then the equilibrium E^* is asymptotically stable for all $? 2 > 0$.

349 (ii)If (H3) holds, and (H2) holds, then the equilibrium E^* is locally asymptotically stable for all $\tau_2 < \tau_2^*$ 20
 350 together with unstable for $\tau_2 > \tau_2^*$ 20 and undergoes Hopf bifurcation at $\tau_2 = \tau_2^*$ 20 .

351 7 Case (3):

352 $\tau_1 > 0, \tau_2 = 0$.

353 In this case, the characteristic equation(4.4) becomes as follows
 354 $D(\tau_1, \tau_2) = P(\tau_1) + R(\tau_1) + Q(\tau_1)e^{-\tau_1} + A_2 \tau_2 + A_1 \tau_2 + A_0 + (B_2 \tau_2 + (C_2 \tau_2 + C_1 \tau_2 + C_0) + B_1 \tau_2 + B_0)e^{-\tau_1} = 0$. (4.12)
 355 putting $\tau_1 = i\tau_1$ ($\tau_1 > 0$) in Eq.(4.12), and separating the real and imaginary parts, we have
 356 $(A_2 + C_2)\tau_2^2 + (A_0 + C_0) = (B_2 \tau_2 - B_0) \cos(\tau_1) - B_1 \tau_2 \sin(\tau_1)$, $-3 + (A_1 + C_1)\tau_2^2 = (B_0 - B_2 \tau_2) \sin(\tau_1) - B_1 \tau_2 \cos(\tau_1)$. (4.13)

357 Squaring and adding the equation(4.13), we obtain $[-(A_2 + C_2)\tau_2^2 + (A_0 + C_0)]^2 + [-3 + (A_1 + C_1)\tau_2^2]^2 = (B_2 \tau_2 - B_0)^2 + (B_1 \tau_2)^2$. (4.14)

358 Based on the calculation method for case (2), we can simplify (4.14) to the following $(\tau_1)^2 + b_2 \tau_2^2 + b_1 \tau_2 + b_0 = 0$, (4.15)

359 where $b_2 = -(A_2 + C_2)\tau_2^2 - 2(A_1 + C_1)\tau_2$, $b_1 = (A_1 + C_1)\tau_2^2 - 2(A_0 + C_0)(A_2 + C_2)\tau_2 - 2B_0\tau_2 - B_2\tau_2^2$, $b_0 = -B_2\tau_2^2$.

360 Theorem 4.1.

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362 (H4): $b_2 > 0, b_0 > 0, b_2 b_1 - b_0 > 0$.

363 If (H4) holds, Eq.(4.15) has no positive roots, which implies all the roots of Eq.(4.12) have negative real parts.
 364 Therefore, E^* is asymptotically stable for all $\tau_1 > 0$ when (H4) holds. (H5): $b_2 < 0, b_1 < 0, b_0 < 0$ or $b_2 > 0, b_1 < 0, b_0 < 0$ or $b_2 > 0, b_1 > 0, b_0 < 0$.

365 If (H5) holds, Eq.(4.15) has exactly one positive root τ_0 , substituting τ_0 in Eq.(4.13), we obtain $-(A_2 + C_2)\tau_0^2 + (A_0 + C_0) = (B_2 \tau_0^2 - B_0) \cos(\tau_0) - B_1 \tau_0 \sin(\tau_0)$, $-3 + (A_1 + C_1)\tau_0^2 = (B_0 - B_2 \tau_0^2) \sin(\tau_0) - B_1 \tau_0 \cos(\tau_0)$. (4.16)

366 For the critical value of τ_1 , we can obtain $\tau_1 = \tau_0 \arccos\{[B_1 + B_2(A_2 + C_2)]\tau_0^2 + [B_1(A_1 + C_1) - C_0(A_2 + C_2) - B_2(A_0 + C_0)]\tau_0^2 + B_0(A_0 + C_0) - (B_0 - B_2)\tau_0^2 - (B_1\tau_0)^2\} + 2j\tau_0, j = 0, 1, 2, \dots$. (4.17)

367 For the transversality condition, differentiating Eq.(4.13) with respect to τ_1 , we get $d\tau_1 = (B_2 \tau_2^2 + B_1 \tau_2 + B_0)e^{-\tau_1} 3\tau_1^2 + 2A_2 \tau_2^2 + A_1 + (2C_2 \tau_2^2 + C_1) + (2B_2 \tau_2^2 + B_1)e^{-\tau_1}$.

368 Solving $(d\tau_1/d\tau_1) - 1 = 3\tau_1^2 + 2A_2 \tau_2^2 + A_1 + (2C_2 \tau_2^2 + C_1) + (2B_2 \tau_2^2 + B_1)e^{-\tau_1} - 1$, we obtain $(d\tau_1/d\tau_1) - 1 = 3\tau_1^2 + 2A_2 \tau_2^2 + A_1 + (2C_2 \tau_2^2 + C_1) + (2B_2 \tau_2^2 + B_1)e^{-\tau_1}$.

369 Then at $\tau_1 = \tau_0$ and $\tau_1 = i\tau_0$, we can get $[Re(d\tau_1/d\tau_1) - 1 = 10, Re(d\tau_1/d\tau_1) - 1 = Re(3(i\tau_0)^2 + (2A_2 \tau_2^2 + C_2)(i\tau_0) + A_1 + C_1(i\tau_0)(B_2(i\tau_0)^2 + B_1(i\tau_0) + B_0)(\cos(\tau_0) - i\sin(\tau_0)))] + Re[2B_2(i\tau_0)^2 + B_1(i\tau_0)(B_2(i\tau_0)^2 + B_1(i\tau_0) + B_0)]$. Now $[Re(d\tau_1/d\tau_1) - 1 = 10, Re(d\tau_1/d\tau_1) - 1 = Re(MR + MI\tau_0 + NI\tau_0) + Re(QR + QI\tau_0 + PR + PI\tau_0)] = MRNR + MINTNR^2 + NI^2 + QRPR + QIPI^2$,

370 where $MR = -3\tau_0^2 + A_1 + C_1$, $MI = 2(A_2 + C_2)\tau_0$, $NR = (B_0\tau_0 - B_2\tau_0^2)$

371 $3\tau_0^2 \sin(\tau_0) - C_1\tau_0^2 \cos(\tau_0)$, $NI = (B_0\tau_0 - B_2\tau_0^2)\cos(\tau_0) + B_1\tau_0^2 \sin(\tau_0)$, $QR = B_1$, $QI = 2B_2\tau_0$, $PR = -B_1\tau_0^2$, $PI = B_0\tau_0 - B_2\tau_0^2$. Then $[Re(d\tau_1/d\tau_1) - 1 = 10, Re(d\tau_1/d\tau_1) - 1 = 10, Re(d\tau_1/d\tau_1) - 1 = 10, Re(d\tau_1/d\tau_1) - 1 = 10]$

372 $= A^*B^* + C^*D^* = A^*D^* + B^*C^*B^*D^*$, (4.18)

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393 here $A^* = MRNR + MINTNR^2$, $B^* = NR^2 + NI^2$, $C^* = QRPR + QIPI^2$, $D^* = PR^2 + PI^2$.

394 From this, we can get $[Re(d\tau_1/d\tau_1) - 1 = 10, Re(d\tau_1/d\tau_1) - 1 = sgn(A^*D^* + B^*C^*)]$.

395 If (H6): $A^*D^* + B^*C^* = 0$ holds, the transversal condition $[Re(d\tau_1/d\tau_1) - 1 = 10, Re(d\tau_1/d\tau_1) - 1 = 10, Re(d\tau_1/d\tau_1) - 1 = 10, Re(d\tau_1/d\tau_1) - 1 = 10]$. From the above analysis, the following theorem can be drawn For $\tau_2 = 0$ and $\tau_1 > 0$, we have the following results:

396 (i)If (H4) holds, then the equilibrium E^* is asymptotically stable for all $\tau_1 > 0$.

397 (ii)If (H6) and (H5) hold, then the equilibrium E^* is locally asymptotically stable for all $\tau_1 < \tau_1^*$ together with unstable for $\tau_1 > \tau_1^*$ and undergoes Hopf bifurcation at $\tau_1 = \tau_1^*$. τ_1 is fixed in $(0, \tau_1^*)$ and $\tau_2 > 0$. We consider the gestation delay τ_1 to be stable in the interval $(0, \tau_1^*)$, taking τ_2 as a control parameter. Let $\tau_1 = u + i\tau$ be the root of Eq. (4.4). Putting this value in Eq.(4.4), separating real and imaginary parts, we obtain $u^3 - 3u^2 + A_2(u^2 - 2) + A_1u + A_0 + (B_2u^2 - B_2\tau_2^2 + B_1u + B_0)e^{-u\tau_1} \cos(\tau_1) + (2B_2u^2 + B_1\tau_2^2)e^{-u\tau_1} \sin(\tau_1) + (C_2u^2 - C_2\tau_2^2 + C_1u + C_0)e^{-u\tau_1} \cos(\tau_1) + (2C_2u^2 + C_1\tau_2^2)e^{-u\tau_1} \sin(\tau_1) = 0$. (4.19) $3u^2 - 3 + 2A_2u^2 + A_1u - (B_2u^2 - B_2\tau_2^2 + B_1u + B_0)\sin(\tau_1) + (2B_2u^2 + B_1\tau_2^2)\sin(\tau_1) + (2C_2u^2 + C_1\tau_2^2)\sin(\tau_1) = 0$. $(2C_2u^2 + C_1\tau_2^2)\cos(\tau_1) - (C_2u^2 - C_2\tau_2^2 + C_1u + C_0)\sin(\tau_1) + (2C_2u^2 + C_1\tau_2^2)\cos(\tau_1) = 0$. $A_2u^2 - A_0 = (-B_2\tau_2^2 + B_0)\cos(\tau_1) + (C_0 - C_2\tau_2^2)\cos(\tau_1) + B_1u\sin(\tau_1) + C_1\tau_2^2\sin(\tau_1)$.

407 (4.21) $\dot{A}_1 = -(B_0 - B_2 \dot{A}_2) \sin(\theta_1) + B_1 \dot{A}_2 \cos(\theta_1) - (C_0 - C_2 \dot{A}_2) \sin(\theta_2) + C_1 \dot{A}_2 \cos(\theta_2)$.
 408 (4.22) $6 + \dot{A}_4 \dot{A}_4 + \dot{A}_3 \dot{A}_3 + \dot{A}_2 \dot{A}_2 + \dot{A}_0 \dot{A}_0 = 0$, (4.23) where $\dot{A}_4 = -(B_2^2 + C_2^2 - A_2^2)$, $\dot{A}_3 = -2(B_2 C_1 - B_1 C_2) \sin(\theta_1 - \theta_2)$, $\dot{A}_2 = -((B_1^2 - 2B_0 B_2 + C_1^2 - 2C_0 C_2) + 2(B_1 C_1 - 2A_0 A_2 - A_2^2 - B_2^2)) \cos(\theta_1 - \theta_2)$, $\dot{A}_0 = -(B_0^2 + C_0^2 - A_0^2)$.

10 Notes

412 $\dot{A}_2 \cos(\theta_2) + \dot{A}_1 \sin(\theta_2) = 6 - 5 \cos(\theta_1) + 4 \sin(\theta_1)$, ??4.25) where $\theta_1 = C_2 \dot{A}_2 - C_0 \dot{A}_0$, $\theta_2 = -C_1 \dot{A}_2$, $\theta_3 = A_0 \dot{A}_2$, $\theta_4 = B_0 \dot{A}_2$, $\theta_5 = B_1 \dot{A}_2$, $\theta_6 = \theta_3 - \theta_1$.

414 Without losing generality, the Eq.(4.23) has finite positive roots $\theta_1, \theta_2, \theta_3, \theta_4, \theta_5, \theta_6$, for every fixed θ ,
 415 there exists a sequence $\{\theta_j\}_{j=0,1,2,\dots}$, where $\theta_j = \theta_i + \theta_{i+1} + \dots$, $\theta_0 = \theta_1$, $\theta_1 = \theta_2$, $\theta_2 = \theta_3$, $\theta_3 = \theta_4$, $\theta_4 = \theta_5$, $\theta_5 = \theta_6$, $\theta_6 = \theta_1$,
 416 $\theta_1 = \theta_2 = \theta_3 = \theta_4 = \theta_5 = \theta_6$. (4.26) let $\theta_2 = \min\{\theta_j\}_{j=0,1,2,\dots}$, when $\theta_2 = \theta_1$, $\theta_2 = \theta_3$, $\theta_2 = \theta_4$, $\theta_2 = \theta_5$, $\theta_2 = \theta_6$.

419 ..., the characteristic equation (4.4) has purely imaginary roots $\pm i\theta_2$. Then, we will verify the transversality
 420 condition, differentiating the characteristic equation (4.4) with respect to θ_2 , we can obtain $[Re(d\theta_2) - 2\theta_2] = 0$,
 421 $2\theta_2 = Re[3(i\theta_2)^2 + 2A_2(i\theta_2) + A_1(i\theta_2)(C_2(i\theta_2)^2 + C_1(i\theta_2)^2 + C_0)(\cos(\theta_2) - i\sin(\theta_2))]$
 422 $+ Re[2C_2(i\theta_2) + C_1(i\theta_2)(C_2(i\theta_2)^2 + C_1(i\theta_2)^2 + C_0)]$. Now $[Re(d\theta_2) - 2\theta_2] = 0$, $Re[M\theta_2 + M_1\theta_2 + N\theta_2 + N_1\theta_2] + Re[Q\theta_2 + Q_1\theta_2 + P\theta_2 + P_1\theta_2] = M\theta_2 + M_1\theta_2 + N\theta_2 + N_1\theta_2 + Q\theta_2 + Q_1\theta_2 + P\theta_2 + P_1\theta_2$,

425 where $M\theta_2 = -3\theta_2 + A_1$, $M_1\theta_2 = 2A_2\theta_2$, $N\theta_2 = (C_0\theta_2 - C_1\theta_2 - C_2\theta_2) \sin(\theta_2)$,
 426 $N_1\theta_2 = (C_0\theta_2 - C_2\theta_2) \cos(\theta_2) + C_1\theta_2 \sin(\theta_2)$, $Q\theta_2 = C_1\theta_2$, $Q_1\theta_2 = 2C_2\theta_2$, $P\theta_2 = -C_1\theta_2$, $P_1\theta_2 = C_0\theta_2 - C_2\theta_2$. Then $[Re(d\theta_2) - 2\theta_2] = 0$, $E = F + GH = EH + FG FH$, (4.27)

428 here $E = M\theta_2 + M_1\theta_2 + N\theta_2 + N_1\theta_2$, $F = N\theta_2 + N_1\theta_2$, $G = Q\theta_2 + Q_1\theta_2$, $H = P\theta_2 + P_1\theta_2$.

11 Notes

430 For system(2.2), assume (H7) holds with θ_1 is fixed in $(0, \theta_{10}]$ and $\theta_2 > 0$, then the equilibrium E^* is locally
 431 asymptotically stable for $\theta_2 \in (0, \theta_2)$ whereas system (2.2) undergoes Hopf bifurcation at $\theta_2 = \theta_2$.

432 Case(5): θ_2 is fixed in $(0, \theta_{20}]$ and $\theta_1 > 0$, so take θ_1 as a control parameter; the analysis is the same as
 433 case(4), so we omit it.

434 From previous studies, overfishing may lead to the extinction of populations. However, in the society, the
 435 adequate protection of the ecosystem is a common problem we need to face. In the face of the increasingly severe
 436 harmful effects of overfishing on ecosystems, people began to find the most suitable methods for fishery control
 437 in various areas of sustainable development policies, for example, seasonal fishing, property leasing, taxation,
 438 licensing fees, etc. Taxes are generally considered to be better than other regulatory approaches, so that we will
 439 view the optimal tax policy for the double phytoplankton-single zooplankton system based on model ??2.3).
 440 Here, we take E as a time-dependent dynamic variable controlled by equations. Therefore, there is the following
 441 equation. $E(t) = Q(t)$, $0 < \dot{Q}(t) = I(t) - Q(t)$, $Q(0) = Q_0$. (5.1)

442 Where $Q(t)$ is the amount of capital invested in fisheries at time t , $I(t)$ is the total investment rate(in physical
 443 form) at time t and \dot{Q} is the constant depreciation rate of capital. Suppose that the effort E at any time is
 444 proportional to the instantaneous amount of investment capital. For example, if $Q(t)$ represents the number of
 445 standard fishing vessels that can be used, it is reasonable to assume that $Q(t)$ and E should be proportional.
 446 When $\dot{Q} = 1$, it can be considered that the maximum fishing capacity(E)is equal to the number of available
 447 vessels at time t ($Q(t)$). When $\dot{Q} = 0$, it means that even though there may be fishing boats, the fishing is not
 448 expanded; it also reflects the over-exploitation of fisheries. At this time the fish population has been seriously
 449 depleted, so fishing vessels can no longer be used. These are simulated capital levels may be adjusted, thus prove
 450 the reasonableness of the equation (5.2). Regulators control the development of fisheries by imposing a tax (v
 451 > 0) on the unit biomass of terrestrial fish. When ($v < 0$) can be understood as any subsidy to fishermen. Net
 452 income of fishermen('Net income' for short) is $E[(u_1 - v)q_1 N + (u_2 - v)q_2 Z - C]$,

453 where u_i , $i = 1, 2$ is the constant price of unit biomass of nontoxic phytoplankton and zooplankton,
 454 respectively. C is the fixed cost per unit of harvesting effort.

455 We assume the gross profit margin on capital investment is proportional to this 'Net income.' So, we have $I =$
 456 $E[(u_1 - v)q_1 N + (u_2 - v)q_2 Z - C]$, $0 < \dot{Q} < 1$.

457 (5.2)

458 For $\dot{Q} = 1$, Eq.(5.2) shows that the highest investment rate at any time is equal to the net income of the
 459 fishermen at that time. $\dot{Q} = 0$ can only be used when the net income of fishermen is negative; that is, current
 460 capital assets cannot be divested. If the fishery is operating at a loss and allows capital to be withdrawn, the only
 461 owner of the fishery will benefit by allowing the capital assets to be continuously withdrawn, because negative
 462 investment means withdrawal of investment, so it is the case of $I < 0$, $\dot{Q} > 0$. By combining Eqs.(5.1) and (5.2),
 463 we can get $\dot{E} = E\{[(u_1 - v)q_1 N + (u_2 - v)q_2 Z - C] - \dot{Q}\}$. (5.3)

464 From this we can get $sgn[Re(d\theta_2) - 2\theta_2] = 0$, $sgn[EH + FG] = 0$.

465 If (H7): $EH + FG = 0$ holds, the transversal condition $sgn[Re(d\theta_2) - 2\theta_2] = 0$. From the
 466 above analysis, we have the following theorem.

467 Theorem 4.3.

468 12 V.

469 Optimal Tax Policy

470 13 Notes

471 Fishermen and regulators are two different parts of society. Therefore, the income they receive is society's income
 472 accumulated through fisheries. The net economic income to society is $M = E[(u_1 - v_1)q_1 N + (u_2 - v_2)q_2 Z - C]$
 473 $+ E[v_1(q_1 N) + v_2(q_2 Z)]$,

480 Next, we will use the principle of Pontryagin's maximum to get the path of the best tax policy. If the fish
 481 population stays along this path, then regulators can ensure that their goals are achieved. The goal of regulatory
 482 agencies is to maximize the total net income of society as a result of harvesting activities. Specifically, the goal
 483 is to maximize revenue over a continuous time stream (J). $J = +? 0 E(t)e^{-t} [u_1 q_1 N + u_2 q_2 Z - C]dt$. (5.5)

484 where γ is the discounting factor. Therefore, our goal is to determine an optimal tax $v = v(t)$ that maximizes
 485 compliance with Eq.(5.4) and constrains $v \leq \min \gamma, v(t) \leq v \leq \max$ on the control variable $v(t)$. When $v \leq \min < 0$,
 486 it will have the effect of accelerating the rate of fishery expansion. The Hamiltonian of the problem is obtained
 487 by $H = (u_1 q_1 N + u_2 q_2 Z - C) e^{-\gamma t} + \gamma N [r_1 (1 - N + \gamma T k_1) - w_1 Z p_1 + N - q_1 E] + \gamma 2 [r_2 T$
 488 $(1 - T + \gamma N k_2) - w_2 T Z p_2 + T + \gamma N] + \gamma 3 [c_1 w_1 N Z p_1 + N - c_2 w_2 T Z p_2 + T + \gamma N - dZ - q_2 E Z] + \gamma 4 E \{ \gamma [(u$
 489 $1 - v) q_1 N + (u_2 - v) q_2 Z - C] - \gamma \} \}, (5.6)$

490 where $\dot{v}_1, \dot{v}_2, \dot{v}_3$ and \dot{v}_4 are the adjoint variables. For $v \in [v_{\min}, v_{\max}]$, the Hamiltonian must be
491 maximized. Assuming that the control constraint is not bound, that is, the optimal solution does not appear as
492 $v = v_{\min}$ or $v = v_{\max}$. We can get by singular control ??9] $\dot{H} \dot{v} = -\dot{v}_4 E(q_1 N + q_2 Z) = 0$ $\dot{v}_4 = 0$.
493 (5.7)

493 Now, the adjoint equations are? $1 \frac{dt}{dt} = - ?H \cdot ?N = -[u_1 q_1 Ee^{-t} ?t + ?1 (r_1 - 2r_1 N + r_1) ?1 T k_1 - w_1$
 494 $p_1 Z (p_1 + N) 2 - q_1 E] + ?2 [w_2 ?T Z (p_2 + T + ?N) 2 - r_2 ?2 T k_2] + ?3 (c_1 w_1 p_1 Z (p_1 + N$
 495 $) 2 + c_2 w_2 ?T Z (p_2 + T + ?N) 2)$

497 ,d? 2 dt = - ?H ?T = -[? 1 (r 1 ? 1 N k 1) + ? 2 [r 2 (1 - 2T + ? 2 N k 2) - w 2 Z(p 2 + ?N) (p 2 + T +
 498 ?N) 2] - ? 3 (c 2 w 2 Z(p 2 + ?N) (p 2 + T + ?N) 2), d? 3 dt = - ?H ?Z = -[u 2 q 2 Ee - ?t - ? 1 (w 1 N p 1
 499 + N) - ? 2 (w 2 T p 2 + T + ?N) + ? 3 (c 1 w 1 N p 1 + N - c 2 w 2 T p 2 + T + ?N - d - q 2 E)], d? 4 dt =
 500 - ?H ?E = -[(u 1 q 1 N + u 2 q 2 Z - C)e - ?t - ? 1 q 1 N - ? 3 q 2 Z].(5.8)

Now start with Eqs.(5.8) and (5.7), using the equilibrium equation we have derived: $1 \frac{dt}{dt} = -u_1 q_1 E_1 - r_1 - 1 \frac{N_1 k_1 + w_1 N_1 Z(p_1 + N_1)^2}{1 N_1 k_1 + w_1 N_1 Z(p_1 + N_1)^2} - 2 [w_2 T Z(p_2 + T + ?N_2) 2 - r_2 - 2 T k_2] - 3 [c_1 w_1 p_1 Z(p_1 + N_1)^2 + c_2 w_2 Z(p_2 + T + ?N_2)^2]$

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504 ,d' 2 dt = -? 1 ( ? T ? + ? N * K * T ) -? 2 ( w 2 * T ( p 2 + 1 + ? N ) * T ) -? 3 ( c 2 w 2 Z ( p 2 + ? N ) ( p 2 + 1
505 ? N ) * T ) 2
506 ,d' 3 dt = -u 2 q 2 Ee -?t + ? 1 ( w 1 N p 1 + N ) + ? 2 ( w 2 T p 2 + T + ? N
507 ).
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Using the second and third equations of Equation (5.9) from the fourth equation of Equation (5.8), we can

510 obtaind?1 dt = M 1 e $-\frac{t}{2}$ + M 2 $\frac{1}{2}$ + M 3 $\frac{1}{2}$,
 511 whereM 1 = $(C - u_1 q_1 N)^2 + u_2 q_2 Z(q_2 E -?) q_1 N$, M 2 = $-w_1 q_2 N Z(p_1 + N)q_1 N$, M 3 = $-2 q_2 T Z \left(\frac{u_1}{2} + T_1 + 2N \right) q_1 N$

512 The solution of this linear equation is $2 \cdot 1 = N \cdot 0 + M \cdot 2t - M \cdot 1 \cdot e^{-2t} M \cdot 2 + 2 - M \cdot 3 \cdot e^{-2t} M \cdot 2$

513 The solution of this linear equation is? $1 = N 0 e^{-Mt} - M 1 e^{-t} M 2 + ? - M 3 / 2 M 2$.
 514 (5.10)
 515 Using the same method as above, we can get? $3 = I 0 e^{H2t} - H 1 e^{-t} H 2 + ?$, (5.11)
 516 where $H 1 = [(C_1 u_2 c_2 Z)^2, C_1 N (u_1^2 + M_1^2) c_2 Z + M_1 M_2 c_1 N (M_2 + 2) c_2 Z]$, $H 2 = M_2 M$

516 whereH 1 = [(C -u Z q Z Z) - q 1 N (u 1 ? + M 1) q Z Z + M 1 M Z q 1 N (M Z + ?)q Z Z], H 2 = M Z M
 517 3 q 1 N q 2 M 2 Z .
 518 Identicallyd? 2 dt = R 1 e -t ? t + R 2 ? 2 ,(5.12)
 519 whereR 1 = M 1 M 2 + ? + H 1 H 2 + ? (c 2 w 2 Z (p 2 + ?N) (p 2 + T + ?N) 2), R 2 = M 3 M 2 (r 2 ?

So we can get $?1 = N 0 e M 2 t - M 1 e -?t M 2 + ? - M 3 (W 0 e R 2 t - R 1 e -?t R 2 + ?) M 2$.

522 The shadow price $1 e^{-rt}$ is bounded as $t \rightarrow \infty$, $N_0 = 0$ and $W_0 = 0$, then we can obtain? $1 = -M_1 e^{-rt}$
 523 $M_2 + ? - M_3 M_2 (e^{-rt} - R_1 e^{-rt} R_2 + ?)$.

524 (5.13) Now use Eqs.(5.11), (5.12) and (5.13) in the first of Eq.(5.9), we have [$(C - u_1 q_1 N^*)$] + $u_2 q_2 Z$
 525 * $(q_2 E^* - ?) q_1 N^*] e^{-t} + w_2 q_2 N^* Z^* (p_1 + N^*) q_1 N^* [M_1 e^{-t} M_2 + ? - M_3 M_2 (e R 2t - R$
 526 $1 e^{-t} R_2 + ?)] + [w_2 q_2 T^* Z^* (p_2 + T^* + ? N^*) q_1 N^*] [R_1 e^{-t} R_2 + ?] + u_1 q_1 E^* e^{-t} + [M_1 e^{-t}$

527 $M2+? -M3 M2 (e R2t -R1e -?t R2+?)][-r1N * k1 + w1N * Z * (p1+N *) 2] = (R1e -?t R2+?)[w2?T * Z * (p2+T * +?N *) 2 -r2?2T * k2] + (H1e -?t H2+?)[c2w2Z * (p2+?N *) (p2+T * +?N *) 2].$

529 (5.14)

530 Because of the computational complexity, our optimal equilibrium solution can be expressed as $T^* = [(c 1 w 1 -?)N * -?p 1](p 2 + ?N *) [(c 2 w 2 -?)p 1 + (c 2 w 2 -c 1 w 2 -?)N *]$, $Z^* = r 1 (p1+N * w1k1)(k 1 -N * -? 1 T^*).$ (5.15)

533 N^* available from the following equation $r 2 (k 2 -T^* -? 2 N^*)(p 2 + T^* + ?N^*) -w 2 k 2 Z^* = 0.$

534 (5.16)

535 E^* available from the following equation $r 1 q 1 (1 - N^* + ? 1 T^* k 1) - w 1 Z^* q 1 (p 1 + N^*) = c 1 w 1 N^* q 2 (p 1 + N^*) - c 2 w 2 T^* q 2 (p 2 + T^* + ?N^*) - d q 2 .$

537 (5.17)

538 From the complex calculation results, it can be seen that T^* and Z^* are functions of v . Therefore, we can 539 express this function as follows $[(C -u 1 q 1 N^*)? + u 2 q 2 Z^* (q 2 E^* -?) q 1 N^*]e -?t + w 2 q 2 N^* Z^* (p 1 + N^*) q 1 N^* [M 1 e -?t M 2 + ? - M 3 M 2 (e R2t - R 1 e -?t R 2 + ?)] + [w2q2T^* Z^* (p2+T^* +?N^*)q1N^*] [R1e -?t R2+?] + u 1 q 1 E^* e -?t + [M1e -?t M2+? -M3 M2 (e R2t -R1e -?t R2+?)][-r1N^* k1 + w1N^* Z^* (p1+N^*) 2] - (R1e -?t R2+?) [w2?T^* Z^* (p2+T^* +?N^*) 2 -r2?2T^* k2] - (H1e -?t H2+?) [c2w2Z^* (p2+?N^*) (p2+T^* +?N^*) 2] = f (v).$

544 (5.18)

545 If v^* exists, let $v = v^*$ be the solution of $f(v)$. Using the value of v^* , we can get the optimal solution $(N(v^*), T(v^*), Z(v^*), E(v^*))$. Here, we establish the existence of an optimal equilibrium solution satisfying the 546 necessary condition of the maximum principle. As Clark [23] pointed out, it is complicated to find the optimal 547 path composed of explosive control and unbalanced singular control. Because the current model is much more 548 complex than Clark's model, we only consider an optimal equilibrium solution. If we can begin to

550 14 VI. Numerical Simulations

551 15 Notes

552 In Fig. 3, we plot the time series of $? = 0$, $? = 10$, $? = 1000$ in the first ten days, where the other parameter 553 values and initial conditions are the same as in Table 2. When $q 1 = q 2 = 0$ and $? = 0$, we can observe that 554 NTP and TPP tend to perish at a fast linear speed. It is obvious that when $?$ increases to 10, the concentrate of 555 TPP will first increase to a certain concentration, then decrease and finally tend to extinction, while at this time, 556 NTP still maintains a rapid decline rate until it is extinct (fig. 3(a)(b)). On the contrary, when $? = 0$, we take q 557 $1 = 0.4$, $q 2 = 1.2$, and $q 1 = 2$, $q 2 = 2.5$, respectively. We can observe that with the increase of $q 1$ and $q 2$, 558 NTP and zooplankton tend to become extinct at a faster rate of decline, while TPP increases more rapidly (fig. 559 3(c)(d)). Based on the values of $q 1$ and $q 2$ of (fig. 3(c)(d)), we increase $?$ to 10. Through comparison, we can 560 find that the curves of NTP and zooplankton have almost no change, but the increasing speed of TPP is still 561 accelerated (fig. 3(e)(f)). To further explore the influence of $?$, we fixed $q 1$ and $q 2$ as 2 and 2.5, respectively. 562 And increased the value of $?$ from 10 to 1000. At this time, We can observe that the concentration of NTP, TPP 563 and zooplankton has almost no change (fig. 3(g)(h)). Finally, when $?$ exists and is fixed at 10, we increase the 564 concentrations of $q 1$ and $q 2$ to 6 and 8, respectively. At this time, we can observe that NTP and zooplankton 565 accelerate the decline rate, while TPP has no obvious change (fig. 3(i)(j)).

566 In Fig. 4, we draw a long-term time series diagram of the system (2.3). We fixed that $q 1$ and $q 2$ are both 0. 567 In fig. 4(a)(b), we can observe the dynamic change of $?$ from 0 to 10. First, we take $? = 0$, in fig. 4(a), we will 568 find the extinction of TPP, while NTP and zooplankton oscillate in the form of limit cycles. Next, we increase $?$ 569 to 10, observe the fig. 4(b), all species are in a coexistence state, and the system is stabilized to a periodic orbit. 570 These periods show large oscillations of all populations. Secondly, when we fix $? = 0$ and increase $q 1 = q 2 = 0.1$ to $q 1 = q 2 = 0.36$, we can find that when $q 1$ and $q 2$ are within a certain range, NTP and TPP will coexist, 572 and zooplankton will tend to become extinct (fig. 4(c)(d)). Finally, when we fix $? = 10$ and increase $q 1 = q 2 = 0.36$ to $q 1 = q 2 = 0.37$, we will find that the coexistence of NTP and TPP disappears, and then only TPP 574 exists and tends to be stable, while NTP and zooplankton tend to be extinct (fig. 4(e)(f)). Now, to explore the 575 influence of pregnancy delay ($? 1$) and toxin onset delay ($? 2$) on the stability of equilibrium point in different 576 cases. First, we need to set a set of parameters as follows $r 1 = 2$, $r 2 = 3$, $? 1 = 0.3$, $? 2 = 0.1$, $k 1 = 2500$, $k 2 = 3000$, $w 1 = w 2 = 0.5$, $p 1 = p 2 = 50$, $c 1 = c 2 = 0.45$, $d = 0.05$, $? = 0.5$, $q 1 = 0.2$, $q 2 = 0.3$, $E = 1$. (6.1)

578 With initial values $(N 0, T 0, Z 0) = (400, 300, 500)$, we perform numerical simulations to verify the 579 theoretical results of the previous delayed system (2.2). For these parameters, we take (6.1) into the delayed 580 system (2.2), the complex dynamical behavior of the system has been observed with time delay.

581 Case i: when $? 1 = 0$, $? 2 > 0$, in this case, $[Re(d? d? 2) ? 2 = ? 20, ? = i? 0] - 1 > 0$, the transversality condition is 582 contented. So when $? 2 < ? 20$ (Fig. ??(a)(b)), the positive equilibrium E^* is locally asymptotically stable, the 583 positive equilibrium E^* is unstable when $? 2 > ? 20$ (Fig. ??(a)(b)), when $? 2 = ? 20$, the system undergoes 584 Hopf bifurcation around the positive equilibrium E^* . (Fig. ??(a)(b)) shows the trajectories and phase portrait 585 of system (2.2) for $? 1 = 0$, $? 2 = 1$. It can be clearly seen that the system (2.2) will converge to the positive 586 equilibrium point E^* . And (Fig. ??(a)(b)) shows the trajectories and phase portrait of the system (2.2) for $? 1$

587 $\gamma = 0, \delta_2 = 1.08$. In this case, the delay system (2.2) has a periodic solution near the positive equilibrium point
588 (E*).

589 Case ii : when $\gamma_1 > 0, \gamma_2 = 0$, we change the values of k_1 and k_2 in (6.1) to $k_1 = 150, k_2 = 250$, and the
590 others remain unchanged. [Re(d? d?1) ?1=?10,?=?0] -1 > 0, the transversality condition is satisfied. (Fig.
591 ??(a)(b)) shows the trajectories and phase portrait of the system (2.2) for $\gamma_1 = 0.7, \gamma_2 = 0$. It can be seen
592 that although the final equilibrium point tends to be stable, there is no oscillation, indicating that there is no
593 periodic solution in this case.

594 Case iii : when $\gamma_1 = 0.9$ in stable interval (0, 10), and take $\gamma_2 > 0$ as the parameter, [Re(d? d?2) ?2=?
595 ?2,?=?] -1 = 0, the transversality condition is satisfied. So when shows the trajectories and phase portrait
596 of the system (2.2) for $\gamma_1 = 0.9, \gamma_2 = 1.06$. It can be clearly seen that the system (2.2) will converge to the
597 positive equilibrium point E*. And (Fig. ??(a)(b)) shows the trajectories and phase portrait of the system (2.2)
598 for $\gamma_1 = 0.9, \gamma_2 = 1.09$; we find the delayed system (2.2) has periodic solutions near the positive equilibrium
599 point E* in this case.

600 Therefore, through the above numerical simulation, we can evidently find the system is stable for small values
601 of the delay, but as the value of delay crosses its critical value, the system loses its stability and undergoes
602 Hopf-bifurcation. Thus the limit cycle exists for $\gamma_1 > 10, \gamma_2 > 20$ and $\gamma_2 > 2$.

603 The dynamic changes of the system (1) with different γ , q_1 and q_2 in the first 10 days, other parameter
604 values and initial conditions are the same as Table 2. (a)(b) : In the case of $q_1 = q_2 = 0, \gamma = 0$ and $\gamma = 10$, the TPP
605 concentration will fluctuate and the NTP concentration will barely change. (c)(d) : For $\gamma = 0$, the
606 concentrations of q_1 and q_2 increase, and both NTP and TPP concentrations accelerate towards extinction.
607 (e)(f) : Based on (c)(d), for $\gamma = 10$, TPP reached a higher flowering concentration, while NTP still maintained
608 a lower concentration. (g)(h) : Based on (f), for $\gamma = 1000$, NTP and TPP concentrations are almost unchanged.
609 (i)(j) : for $\gamma = 10$, we increase the concentrations of q_1 and q_2 to 6 and 8, respectively. NTP and zooplankton
610 accelerate the decline rate, while TPP has no obvious change.

611 16 Notes

612 The long-term dynamics of the system (2.1), all other parameter values are the same as Table 2. (a) : When q
613 $= q_1 = q_2 = 0$, NTP and zooplankton with initial concentrations (500,200,1000) oscillate and TPP populations
614 become extinct. (b) : For $\gamma = 10$, all populations survive and the system stabilizes to a limit cycle. (c)(d) : For γ
615 $= 0, 0 < q_1 = q_2 < 0.36$, NTP and TPP can coexist. (e)(f) : when we fix $\gamma = 10$ and increase $q_1 = q_2 = 0.36$
616 to $q_1 = q_2 = 0.37$, we will find that the coexistence of NTP and TPP disappears, and then only TPP exists
617 and tends to be stable, while NTP and zooplankton tend to be extinct.

618 17 Notes

619 The behavior of the system(2.2) for $\gamma_1 = 0, \gamma_2 = 1$ with other parameters chosen in (6.1).

620 The behavior of the system(2.2) for $\gamma_1 = 0, \gamma_2 = 1.08$ with other parameters chosen in (6.1).

621 The behavior of the system(2.2) for $\gamma_1 = 0.7, \gamma_2 = 0$ with other parameters chosen in (6.1).

622 18 Notes

623 when we increase the time delay to more than this critical value, the system will become unstable, and then Hopf
624 bifurcation occurs at the critical time. Considering the practical significance of the research, in section 5, we use
625 the principle of Pontryagin's maximum to study the optimal tax policy of the system without time delay, we
626 obtained the optimal path of the optimal tax policy. In addition, we use the parameters and initial values given
in Table 2 and (6.1) to simulate several cases of double-delay systems in Matlab to verify all theoretical results.



1

Figure 1: 1



Figure 2:

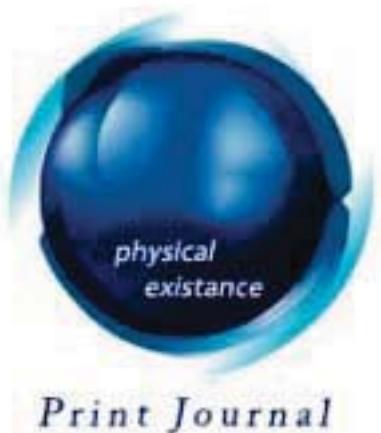


Figure 3: with $A_2 = 33$ $B_2 =$

627



Figure 4:



20

Figure 5: (4. 20)



Figure 6:



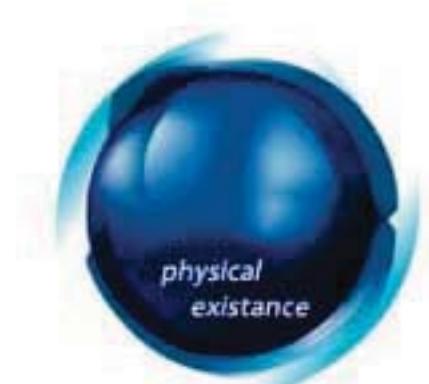
Figure 7: Fig. 2 :



Figure 8: ? 2 < ? 2



Figure 9: Fig. 3 :



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Figure 10:



4

Figure 11: Fig. 4 :



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Figure 12: Fig. 5 :Fig. 6 :Fig. 7 :Fig. 8 :Fig. 9 :



Figure 13:



Figure 14:

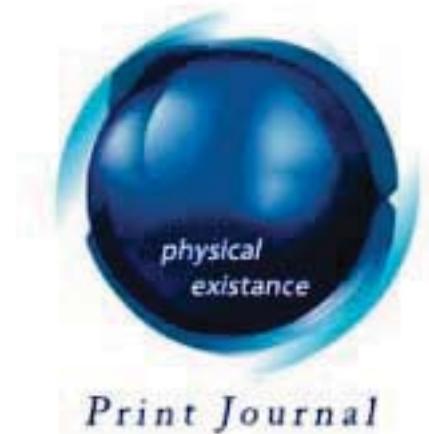


Figure 15:



Figure 16:

2

Figure 17: Table 2 :

628 Ref
629 $E(v^*)$ at any initial state in $[0, S]$ to reach its maximum benefit in a limited time S_0 . The period $[0, S]$
630 may be a planning cycle, or it may be the shortest cycle closest to $F^* c$, so we take S to be the shortest time
631 to reach

632 $+/0\}$ be the optimal equilibrium. Now, we seek $\min S_0(v)$ subject to the solution to Eq. ??5.5).
633 Using the maximum principle, we will get the adjoint variables $\gamma_1, \gamma_2, \gamma_3$ and γ_4 as
634 (5.20)

635 The adjoint variables $\gamma_1, \gamma_2, \gamma_3$ and γ_4 Eq.(5.19) specifies a set of initial conditions for $\gamma_1, \gamma_2, \gamma_3$ and γ_4 , and
636 Eq.(5.20) uses these initial conditions to determine the unique solution of $\gamma_1, \gamma_2, \gamma_3$ and γ_4 . Therefore, it
637 is easy to obtain the optimal tax as follows:

638 (5.22)
639 The optimal path in $[0, S]$ is the solution of Eq.(5.5) in its elementary state. We will now combine these two
640 stages to obtain the optimal tax policy and optimal path in an infinite range:

641 From the above analysis, we can easily observe the following points:

642 (i) From Eqs.(5.7) and (5.11)-(5.13), we note that $\gamma_i e^{-\gamma_i t}$, ($i = 1, 2, 3, 4$), where γ_i is an adjoint variable,
643 which remains unchanged in an optimal balance time interval, therefore, they satisfy the transversal condition,
644 that is, they remain bounded to $t \rightarrow \infty$.

645 (ii) Considering the coexistence equilibrium point

646 The fourth equation of Eq.(5.8) can be written as

647 This means that the total harvest cost per unit of user's effort is equal to the discount value of the future price
648 under the steady state effort level.

649 (iii) From Eqs.(5.11) and (5.13), we can obtain

650 .1 Notes

651 The optimal solution of (5.5) for $v = 0.867$.

652 This shows that the unlimited discount rate leads to the complete dissipation of the net economic income to
653 the society, $(u_1 q_1 N b + u_2 q_2 Z b - C)E = 0$. We also observe that for a zero discount rate, the present value
654 of the continuous time flow reaches its maximum.

655 Due to the complexity of its calculation and to explain our optimal tax policy more intuitively, we continue
656 to study it through numerical simulation. If

657 and the discounting factor $\gamma = 0.045$ in appropriate units, based on the selection of the above parameter
658 values, we can get the optimal tax is $v = 0.867$. In Fig. ??, we get the optimal solution. Therefore, we have
659 used the principle of Pontryagin's maximum to obtain the optimal path of the optimal tax policy, which not only
660 ensures the maximum goal of the regulatory authority, but also the stability of the ecosystem.

661 In this section, we will use Matlab to numerically simulate the impact of various parameters on species and
662 the stability of steady state. Therefore, the initial conditions and parameter settings in Table ?? are used for the
663 numerical analysis of the system (2.3). First, we give the time series diagram of N , T and Z with short period
664 and long period, then the impact of different γ , $q_1 E$ and $q_2 E$ on the survival of species were investigated.

665 Lastly, we study the changes in equilibrium stability with varying delays of time.

666 .2 Notes

667 The behavior of the system(2.2) for $\gamma_1 = 0.9, \gamma_2 = 1.06$ with other parameters chosen in (6.1).

668 The behavior of the system(2.2) for $\gamma_1 = 0.9, \gamma_2 = 1.09$ with other parameters chosen in (6.1).

669 The predator avoidance effect always attracts ecologists to investigate it. In the aquatic system, zooplankton
670 lives in the environment full of toxic and non-toxic bait (phytoplankton). To make toxic phytoplankton, nontoxic
671 phytoplankton and zooplankton coexist, the avoidance behavior of zooplankton against toxic phytoplankton
672 is an important research topic. In this paper, we consider a biological model with two delays in which
673 zooplankton avoids poisonous phytoplankton in the presence of nontoxic phytoplankton. For this model of
674 poisonous avoidance, due to the avoidance coefficient of zooplankton to toxic phytoplankton, the growth density
675 of zooplankton and toxic phytoplankton is nonlinear. When the poisonous avoidance coefficient is high, the
676 density of poisonous phytoplankton will increase in proportion, and finally tend to be stable. we also consider
677 the impact of human harvest on the coexistence of these three species, the form of avoidance and human harvest
678 have biological significance, which we also analyzed.

679 According to this article, we analyze the positive and boundedness of the system solution without time delay
680 at first. In the bounded area, the densities of nontoxic phytoplankton (NTP), toxic phytoplankton (TPP) and
681 zooplankton (zooplankton) are all non negative. Then we analyze the bistability of the equilibrium points. From
682 fig. ??, we can see the bistability of each equilibrium point in different k_1 ranges. For the dynamic behavior
683 of double time-delay systems, we analyze the local stability and the existence of Hopf bifurcation. Taking the
684 pregnancy delay γ_1 and the toxin onset delay γ_2 as the bifurcation parameters, the critical value of the time
685 delay for the Hopf bifurcation of the system under different conditions is obtained. We find that the system is
686 stable when the time delay is less than this critical value($\gamma_1 = 0.2, \gamma_2 = 10$ and $\gamma_2 = 20$, respectively), but

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